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Securities identification code: 6507  
June 7, 2021

To our shareholders:

Fuminori Saito  
President

**SINFONIA TECHNOLOGY CO., LTD.**  
1-30, Shibadaimon 1-chome, Minato-ku, Tokyo, Japan

## Notice of the 97th Ordinary General Meeting of Shareholders

SINFONIA TECHNOLOGY CO., LTD. (the “Company”) is pleased to notify you of the 97th Ordinary General Meeting of Shareholders of the Company, which will be held as described below.

Instead of attending the meeting in person, you can exercise your voting rights in writing or via the Internet, and in doing so, please review the attached Reference Documents for the General Meeting of Shareholders and exercise your voting rights by 5:30 p.m. on Monday, June 28, 2021 (Japan Standard Time).

### Meeting Details

- 1. Date and time:** Tuesday, June 29, 2021 at 10:00 a.m. (Japan Standard Time)
- 2. Venue:** Shiba NBF Tower 7F (The Company’s meeting room)  
1-30, Shibadaimon 1-chome, Minato-ku, Tokyo, Japan
- 3. Purposes:**  
**Items to be reported:**
  1. Business Report and Consolidated Financial Statements for the 97th Term (from April 1, 2020 to March 31, 2021), as well as the results of audit of the Consolidated Financial Statements by the Accounting Auditor and the Board of Auditors
  2. Non-Consolidated Financial Statements for the 97th Term (from April 1, 2020 to March 31, 2021)

#### Items to be resolved:

- |                        |                                       |
|------------------------|---------------------------------------|
| <b>Proposal No. 1:</b> | Appropriation of surplus              |
| <b>Proposal No. 2:</b> | Election of eight (8) Directors       |
| <b>Proposal No. 3:</b> | Election of one (1) Corporate Auditor |

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- Please submit the enclosed voting form to the reception of the venue when you attend the meeting. If you are attending as a proxy, please submit a letter of proxy together with the voting form to the reception of the venue. (A proxy is limited to one person who must be a shareholder of the Company with voting rights as provided in the Articles of Incorporation.)
  - Among the documents that must be made available to the shareholders when giving notice of this meeting, the notes to the consolidated financial statements and the notes to the non-consolidated financial statements are published on the website of the Company (<http://www.sinfo-t.jp/ir/stockholder.htm>) on the Internet under the provisions in law and Article 17 of the Articles of Incorporation. Therefore, they are not given in the documents attached to this notice. Accordingly, the consolidated financial statements and non-consolidated financial statements given in the attached documents to this notice are part of the consolidated financial statements and non-consolidated financial statements audited when the audit reports were prepared by the Accounting Auditor and the Corporate Auditors.
  - We will post any changes made to Reference Documents for the General Meeting of Shareholders, business report, consolidated financial statements and non-consolidated financial statements on the website of the Company (<http://www.sinfo-t.jp/ir/stockholder.htm>).
  - Please understand that Directors and employees of the Company will wear light clothes (“Cool Biz”) at the meeting.

## Reference Documents for the General Meeting of Shareholders

### Proposals and Reference Information

#### Proposal No. 1: Appropriation of surplus

Under its basic policy to provide dividends in a continuous and stable manner, the Company makes a decision about profit distribution by taking into account its shareholders' interests, secure internal reserve to enhance its corporate structure and deploy its business for the future, and earning position prospect. The Company hereby proposes the following year-end dividends for the fiscal year.

Matters related to year-end dividends

- (1) Allocation of dividend property to shareholders and total amount thereof  
35 yen per common share of the Company  
Total amount of dividends: 1,038,234,470 yen
- (2) Effective date of distribution of dividends of surplus  
June 30, 2021


**Proposal No. 2: Election of eight (8) Directors**


The term of all eight (8) Directors expires at the close of this General Meeting of Shareholders. Accordingly, the Company proposes that eight (8) Directors be elected.


The candidates for Directors are as follows:


No.	Name	Position and responsibilities in the Company	
1	Shozo Buto	Chairman General supervisor of the Research & Development Center and Global Business Development Center	Reelection
2	Fuminori Saito	President General supervisor of the Procurement Center	Reelection
3	Shinichi Hirano	Director & Executive Officer General Manager of Electrical Products & Systems Division and in charge of the Sales Operations Administration Department, sales companies, branches, and sales offices	Reelection
4	Katsuyuki Sakamoto	Director & Senior Officer General Manager of the Finance Department, Manager of the Internal Control Promotion Section, Finance Department, in charge of the IT Planning Department, Audit Department, and general risk management, and General supervisor of the Management Planning Department, Administrative & Personnel Department, Legal Department, Company-wide Compliance and WAY Promotion Project	Reelection
5	Masaaki Narihisa	Director & Officer General Manager of Electronics & Precision Products Division and in charge of the Motion Control Products Division and the Digital Printer Division, Electronics & Precision Products Division	Reelection
6	Kazuo Shigekawa	Outside Director	Reelection Outside Independent
7	Satoshi Mizui	Outside Director	Reelection Outside Independent
8	Hiroharu Senju	Officer In charge of Electrical Products, Vibratory Material Handling Systems and Testing System, Electrical Products & Systems Division	New election


<b>Reelection</b>	Candidate for Director to be reelected
<b>New election</b>	Candidate for Director to be newly elected
<b>Outside</b>	Candidate for Outside Director
<b>Independent</b>	Independent officer as provided for by the stock exchanges


Candidate No. ①	Name (Date of birth)	Shozo Buto (July 19, 1947)	[Reelection]
 Number of the Company's shares owned 41,300  Attendance at Board of Directors' Meetings: 16/16 (100%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1970    Joined the Company June 2003    Director of the Company June 2005    Managing Director of the Company June 2007    Senior Managing Director of the Company June 2009    President of the Company June 2015    Chairman of the Company (present position) June 2019    General supervisor of the Research & Development Center of the Company (present position) April 2021    General supervisor of the Global Business Development Center of the Company (present position)		
<b>[Reasons for nomination of candidate for Director]</b> Mr. Buto has led the Company's management as President since June 2009, and in June 2015 he was appointed as Chairman. In his role as Director, he has contributed to the reinforcement of the supervisory function and securing of the effectiveness of the Board of Directors. The Company proposes his continued election as Director after determining he is qualified to make contributions to the supervision of business execution and perpetual enhancements in corporate value by utilizing his wealth of experience and knowledge.			


Candidate No. ②	Name (Date of birth)	Fuminori Saito (February 11, 1954)	[Reelection]
 Number of the Company's shares owned 34,900  Attendance at Board of Directors' Meetings: 16/16 (100%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1977    Joined the Company June 2008    Director of the Company June 2011    Managing Director of the Company June 2012    Director & Senior Officer of the Company June 2016    Director & Executive Officer of the Company June 2018    President of the Company (present position) April 2021    General supervisor of the Procurement Center of the Company (present position)		
<b>[Reasons for nomination of candidate for Director]</b> After serving as a Director at the Company, in June 2018 Mr. Saito assumed the position of President of the Company, through which he has led management to achieve sustainable growth in our corporate value. The Company proposes his continued election as Director after determining he is qualified to make contributions to the supervision of business execution and perpetual enhancements in corporate value by utilizing his wealth of experience and knowledge.			

Candidate No. 3	Name (Date of birth)	Shinichi Hirano (May 2, 1955)	[Reelection]
 Number of the Company's shares owned 17,900  Attendance at Board of Directors' Meetings: 15/16 (94%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1978    Joined the Company June 2012    Officer of the Company June 2016    Senior Officer of the Company June 2017    Director of the Company (present position) June 2018    General Manager of the Electrical Products & Systems Division of the Company (present position) June 2019    Executive Officer of the Company (present position) April 2021    In charge of the Sales Operations Administration Department, sales companies, branches, and sales offices of the Company (present position)		
<b>[Reasons for nomination of candidate for Director]</b> Mr. Hirano is currently contributing to the Company as Director in charge of realizing the Company's business strategy, following his contribution to management as an Officer. The Company proposes his continued election as Director after determining he is qualified to make contributions to the supervision of business execution and perpetual enhancements in corporate value by utilizing his wealth of experience and knowledge.			


Candidate No. 4	Name (Date of birth)	Katsuyuki Sakamoto (April 24, 1969)	[Reelection]
 Number of the Company's shares owned 8,200  Attendance at Board of Directors' Meetings: 16/16 (100%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1993    Joined the Company June 2016    General Manager of the Finance Department of the Company (present position) July 2017    Manager of the Internal Control Promotion Section, Finance Department of the Company (present position) June 2018    Officer of the Company June 2018    Director and in charge of general risk management of the Company (present position) January 2020    In charge of the IT Planning Department of the Company (present position) April 2020    General supervisor of the Management Planning Department of the Company (present position) April 2021    Senior Officer, in charge of the Audit Department, and General supervisor of the Administrative & Personnel Department, Legal Department, Company-wide Compliance and WAY Promotion Project of the Company (present position)		
<b>[Reasons for nomination of candidate for Director]</b> Mr. Sakamoto is currently contributing to the Company as Director in charge of realizing its financial strategy and playing a key role in management aimed at strengthening the management base following his work in the Accounting Department. The Company proposes his continued election as Director after determining he is qualified to make contributions to the supervision of business execution and perpetual enhancements in corporate value by utilizing his wealth of experience and knowledge.			

Candidate No. 5	Name (Date of birth)	Masaaki Narihisa (April 6, 1957)	[Reelection]
 Number of the Company's shares owned 9,518  Attendance at Board of Directors' Meetings: 11/11 (100%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1981    Joined the Company June 2016    Officer of the Company (present position) April 2020    General Manager of the Electronics & Precision Products Division and in charge of the Motion Control Products Division and the Digital Printer Division, Electronics & Precision Products Division of the Company (present position) June 2020    Director of the Company (present position)		
<b>[Reasons for nomination of candidate for Director]</b> Mr. Narihisa is currently contributing to the Company as Director in charge of realizing the Company's business strategy, following his contribution to management as an Officer. The Company proposes his continued election as Director after determining he is qualified to make contributions to the supervision of business execution and perpetual enhancements in corporate value by utilizing his wealth of experience and knowledge.			

Candidate No. 6	Name (Date of birth)	Kazuo Shigekawa (January 18, 1948)	[Reelection] [Outside Director] [Independent]
 Number of the Company's shares owned 0  Attendance at Board of Directors' Meetings: 15/16 (94%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1972    Joined Kobe Steel, Ltd. April 1997    President of KOBELCO COMPRESSORS (AMERICA), INC. June 2002    Officer of Kobe Steel, Ltd. April 2004    Senior Officer of Kobe Steel, Ltd. April 2007    Executive Officer of Kobe Steel, Ltd. June 2008    Senior Managing Director of Kobe Steel, Ltd. April 2009    Senior Representative Director of Kobe Steel, Ltd. April 2010    Executive Vice President of Kobe Steel, Ltd. April 2012    Advisor of Kobelco Eco-Solutions Co., Ltd. June 2012    President of Kobelco Eco-Solutions Co., Ltd. June 2015    Advisor of Kobelco Eco-Solutions Co., Ltd. June 2016    Retired as Advisor of Kobelco Eco-Solutions Co., Ltd. June 2017    Outside Director of the Company (part-time) (present position)		
<b>[Reasons for nomination of candidate for Outside Director and outline of expected roles]</b> The Company proposes the election of Mr. Shigekawa as Outside Director with the expectation that he will be able to provide supervision of overall management and effective recommendations that ensure the appropriateness and validity of decision making based on his broad knowledge and wealth of experience as a corporate manager in the manufacturing industry. If Mr. Shigekawa is elected, he will engage in dealing with original proposals on the election of and compensation for the Directors and others of the Company from an objective and neutral standpoint. He is currently serving as Outside Director of the Company, and his tenure as Outside Director will be four (4) years at the close of this general meeting of shareholders.			

Candidate No. 7	Name (Date of birth)	Satoshi Mizui (August 9, 1952)	[Reelection] [Outside Director] [Independent]																							
 Number of the Company's shares owned 0 Attendance at Board of Directors' Meetings: 16/16 (100%)	Career summary, positions and responsibilities, and significant concurrent positions outside the Company																									
	<table border="0"> <tr><td>April 1975</td><td>Joined Nissho Iwai Corporation (now: Sojitz Corporation)</td></tr> <tr><td>July 2002</td><td>President of Nissho Iwai Indonesia Corporation (now: PT. Sojitz Indonesia)</td></tr> <tr><td>February 2006</td><td>COO of Sojitz Corporation of America</td></tr> <tr><td>April 2006</td><td>Officer of Sojitz Corporation</td></tr> <tr><td>April 2011</td><td>Senior Officer of Sojitz Corporation</td></tr> <tr><td>April 2014</td><td>Executive Officer of Sojitz Corporation</td></tr> <tr><td>October 2015</td><td>Executive Vice President Officer of Sojitz Corporation</td></tr> <tr><td>June 2016</td><td>Representative Director and Executive Vice President of Sojitz Corporation</td></tr> <tr><td>April 2018</td><td>Director of Sojitz Corporation</td></tr> <tr><td>June 2018</td><td>Advisor of Sojitz Corporation</td></tr> <tr><td>June 2018</td><td>Outside Director of the Company (part-time) (present position)</td></tr> <tr><td>June 2019</td><td>Retired as Advisor of Sojitz Corporation</td></tr> </table>			April 1975	Joined Nissho Iwai Corporation (now: Sojitz Corporation)	July 2002	President of Nissho Iwai Indonesia Corporation (now: PT. Sojitz Indonesia)	February 2006	COO of Sojitz Corporation of America	April 2006	Officer of Sojitz Corporation	April 2011	Senior Officer of Sojitz Corporation	April 2014	Executive Officer of Sojitz Corporation	October 2015	Executive Vice President Officer of Sojitz Corporation	June 2016	Representative Director and Executive Vice President of Sojitz Corporation	April 2018	Director of Sojitz Corporation	June 2018	Advisor of Sojitz Corporation	June 2018	Outside Director of the Company (part-time) (present position)	June 2019
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June 2018	Outside Director of the Company (part-time) (present position)																									
June 2019	Retired as Advisor of Sojitz Corporation																									
<p><b>[Reasons for nomination of candidate for Outside Director and outline of expected roles]</b></p> <p>The Company proposes the election of Mr. Mizui as Outside Director with the expectation that he will be able to provide supervision of overall management and effective recommendations that ensure the appropriateness and validity of decision making based on his broad knowledge and wealth of experience as a corporate manager in an industry different from that of the Company. If Mr. Mizui is elected, he will engage in dealing with original proposals on the election of and compensation for the Directors and others of the Company from an objective and neutral standpoint. He is currently serving as Outside Director of the Company, and his tenure as Outside Director will be three (3) years at the close of this general meeting of shareholders.</p>																										



Candidate No. 8	Name (Date of birth)	Hiroharu Senju (December 15, 1965)	[New election]
 Number of the Company's shares owned 4,500	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1990    Joined the Company April 2008    General Manager of Parts Feeder Sales Department, the Electrical Products & Systems Division of the Company January 2011    General Manager of Generator and Electrical Products Sales Department, the Electrical Products & Systems Division of the Company October 2014    General Manager of Electrical Products Sales Department, the Electrical Products & Systems Division of the Company June 2019    Officer of the Company (present position) June 2019    In charge of Social Infrastructure System, Electrical Products, and Testing System Businesses, the Electrical Products & Systems Division of the Company, and General Manager of Electrical Products Sales Department, the Electrical Products & Systems Division of the Company  February 2021    In charge of Social Infrastructure System, Electrical Products, and Testing System Businesses, the Electrical Products & Systems Division of the Company, and General Manager of Testing System Sales Department, the Electrical Products & Systems Division of the Company  April 2021    In charge of Electrical Products, Vibratory Material Handling Systems, and Testing System Businesses, the Electrical Products & Systems Division of the Company, and General Manager of Testing System Sales Department, the Electrical Products & Systems Division of the Company  June 2021    In charge of Electrical Products, Vibratory Material Handling Systems, and Testing System Businesses, the Electrical Products & Systems Division of the Company (present position)		
<p><b>[Reasons for nomination of candidate for Director]</b>  The Company proposes the election of Mr. Senju as Director after determining he will be able to make contributions to the supervision of business execution and perpetual enhancements in corporate value based on his broad knowledge on the Company's business as Officer in charge of the business division.</p>			


Notes:

1. There is no special interest between the candidates and the Company.
2. Mr. Masaaki Narihisa was newly elected and assumed office at the 96th Ordinary General Meeting of Shareholders held on June 26, 2020. Therefore, his attendance at Board of Directors' meetings is for meetings held after he assumed office.
3. Mr. Kazuo Shigekawa and Mr. Satoshi Mizui are candidates for Outside Director. The Company has submitted notification to Tokyo Stock Exchange, Inc. that Mr. Kazuo Shigekawa and Mr. Satoshi Mizui have been designated as Independent Officers based on the regulations of Tokyo Stock Exchange, Inc., and if their elections are approved, the Company plans to continue to designate them as Independent Officers.
4. The Company has entered into agreements respectively with Mr. Kazuo Shigekawa and Mr. Satoshi Mizui that limit their liability with the Company pursuant to Article 423, Paragraph 1 of the Companies Act, and the maximum amount of liability under the said agreements is the minimum liability amount as provided in laws and ordinances. If their elections are approved, the Company plans to renew the above limited liability agreements with them.
5. The Company has concluded a directors and officers liability insurance agreement provided for in Article 430-3, Paragraph 1 of the Companies Act with an insurance company. The directors and officers liability insurance agreement, which is renewed annually, is an insurance policy under which an insurance company covers damages suffered by the insureds, including the Company's Directors, that may arise from the liabilities for the execution of their duties or arise from a claim filed against them that pursues such liabilities. In the case that the Company pursues the liabilities for damages against the insureds, the coverage of such a case is exempted under the directors and officers liability insurance agreement. Meanwhile, the directors and officers liability insurance agreement ensures the appropriateness of the insureds' execution of duties not to be impaired by way of establishing a co-payment amount. If the candidates are elected and assume office as Directors, they will become the insureds under the directors and officers liability insurance agreement.

**Proposal No. 3 Election of one (1) Corporate Auditor**

Current Corporate Auditor, Mr. Shunji Momoka, will resign at the conclusion of this meeting. Therefore, the Company proposes the election of one Corporate Auditor as his substitute. In addition, as provided for in the Company's Articles of Incorporation, the term of office of the Corporate Auditor to be elected as a substitute will be until the term of office of the retiring Corporate Auditor expires. For submission of this Proposal No. 3 to the meeting, the Board of Auditors' consent has been obtained.

The candidate for Corporate Auditor is as follows:

	Name (Date of birth)	Satoru Hori (October 19, 1955)	[New election]
 Number of the Company's shares owned 13,900	Career summary, positions and responsibilities, and significant concurrent positions outside the Company		
	April 1981	Joined the Company	
	January 1998	Staff Manager of Design, Electrical Products Engineering Department of the Company	
	April 2002	Head of Transportation Management Group, Toyohashi Procurement Department of the Company	
	April 2005	Senior Staff Manager of Toyohashi Procurement Department of the Company	
	February 2006	General Manager of Toyohashi Procurement Department, and Head of Transportation Management Group, of the Company	
	January 2009	General Manager of Toyohashi Procurement Department of the Company	
	April 2009	General Manager of Toyohashi Procurement Department of the Company	
	June 2014	Officer, General Manager of the Procurement Center, and General Manager of Toyohashi Procurement Department, the Procurement Center, of the Company	
	April 2021	Associate of the Company, and Assistant to President (present position)	
<b>[Reasons for nomination of candidate for Corporate Auditor]</b> Mr. Hori has broad knowledge of corporate analysis and the Company's technology, having worked in the Technology Department and the Procurement Department, and having served as an Officer in charge of the Procurement Department. The Company proposes his election as Corporate Auditor with the expectation that he will be able to utilize this knowledge to provide supervision of overall management and effective recommendations.			

Notes:

1. There is no special interest between the candidate and the Company.
2. The Company has concluded a directors and officers liability insurance agreement provided for in Article 430-3, Paragraph 1 of the Companies Act with an insurance company. The directors and officers liability insurance agreement, which is renewed annually, is an insurance policy under which an insurance company covers damages suffered by the insureds, including the Company's Corporate Auditors, that may arise from the liabilities for the execution of their duties or arise from a claim filed against them that pursues such liabilities. In the case that the Company pursues the liabilities for damages against the insureds, the coverage of such a case is exempted under the directors and officers liability insurance agreement. Meanwhile, the directors and officers liability insurance agreement ensures the appropriateness of the insureds' execution of duties not to be impaired by way of establishing a co-payment amount.  
If the candidate is elected and assume office as Corporate Auditor, he will become the insured under the directors and officers liability insurance agreement.

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